

02/26/02

1132 U.S. PTO

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney Docket No.

020220

Total Pages

First Named Inventor or Application Identifier

**Yasuyuki NAKAJIMA, Hiromasa YANAGIHARA and  
Masaru SUGANO**

Express Mail Label No.

**APPLICATION ELEMENTS FOR:  
VIDEO PLAYBACK UNIT, VIDEO DELIVERY UNIT  
AND RECORDING MEDIUM**

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 202311. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification Total Pages [53 ]3. ☒ Drawing(s) (35 USC 113) Total Sheets [17]4. ☒ Oath or Declaration Total Pages [2]a. ☒ Newly executed (original)b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed).i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).5. ☐ Incorporation by reference (useable if box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement Verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☒ Assignment Papers (cover sheet and document(s)) to: **KDDI Corporation, Tokyo, Japan**  
Please publish the assignee data with the application.9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

J1000 U.S. PTO

10/082268

02/26/02

# UTILITY PATENT APPLICATION TRANSMITTAL

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under 37 CFR 1.53(b))

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**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

a. Priority of Japanese Application No. 2001-055398 filed on February 28, 2001 is claimed under 35 USC 119.

b. Priority of Japanese Application No. 2001-080946 filed on March 21, 2001 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

18. ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$740.00
Total Claims	37 - 20	17	x \$18.00	\$306.00
Independent Claims	9 - 3	6	x \$84.00	\$504.00
Multiple Dependent Claims			\$280.00	\$280.00
Basic Filing Fee				\$1830.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$40.00
TOTAL				\$1870.00

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	020220
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	PAGE 3 OF 3	

☒ [XX] A check in the amount of \$1870.00 is enclosed to cover the filing fee including \$740.00 for basic filing fee; \$306.00 for 16 additional claims; \$504.00 for 6 additional independent claims; \$280.00 for multiple dependent claim and the assignment recordation fee of \$40.00.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**CORRESPONDENCE ADDRESS:**



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY	
Typed or Printed Name	Met R. Quintos
Reg. No.	31,898
Signature	<i>[Signature]</i>
Date:	February 26, 2002

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